TR	Derwork Reduction Act of 1995 ANSMITTAL FORM all correspondence after initial		U.S s are required to respond to a december Application Number Filing Date First Named Inventor Art Unit Examiner Name	10/	Approved for use through 07/31/2006. OMB 0651-0031 Trademark Office; U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number. 033,178 In Michel Lerdu
	Pages in This Submission	18	Attorney Docket Number	189-0	003.001 Hamelin
		ENG	LOSURES (Check &	ill that appl	
Amendme Aff Aff Extension Express A Informatio Certified C Document Reply to M Incomplete	ter Final fidavits/declaration(s) of Time Request abandonment Request in Disclosure Statement Copy of Priority ((s) Missing Parts/ e Application ply to Missing Parts der 37 CFR 1.52 or 1.53	F F F F F F F F F F F F F F F F F F F	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Ferminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Oks	ion Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Acknowledgment Postcard
Circo Norse	SIGNA	TURE O	F APPLICANT, ATT	ORNEY,	OR AGENT
Firm Name Signature	Botkin & E	all, I	LP		
Printed name	James D. Hall				
Date			Reg. No. 24,893		
I hereby certify the sufficient postage the date shown be Signature	at this correspondence is b as first class mail in an en elow:	eing facsir Velope add	dressed to: Commissioner t	TO or depo	Date 29 May 04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (11-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reparements Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number Complete if Known ve on 10/01/2004. Patent fees are subject to annual revision. 10/033,178 **Application Number** EE TRANSMITTAL Filing Date For FY 2005 Jean Michel Lerdu First Named Inventor **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 340.00 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. <u>|189-003.001 Hamelin</u> FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 2. EXTRA CLAIM FEES **Small Entity** x Check Money Order Credit Card Fee (\$) Fee Description Fee (\$) Each claim over 20 18 None Deposit Account Each independent claim over 3 88 44 Multiple dependent claims 150 300 Deposit For Reissues, each claim over 20 and Account Number more than in the original patent 9 18 Deposit For Reissues, each independent claim Account more than in the original patent 88 The Director is hereby authorized to: (check all that apply) Total Claims Extra Claims Fee (\$) Fee Paid (\$) - 20 or HP = Charge fee(s) indicated below HP = highest number of total claims paid for, if greater than 20 Charge fee(s) indicated below, except for the filing fee Extra Claims Fee Paid (\$) Fee (\$) - 3 or HP = Charge any additional fee(s) or underpayments of fee(s) HP = highest number of independent claims paid for, if greater than 3 under 37 CFR 1.16 and 1.17 Credit any overpayments **Multiple Dependent Claims** Fee Paid (\$) to the above-identified deposit account. Subtotal (2) \$_0.00 Other (please identify): 3. OTHER FEES **Small Entity** Fee Paid(\$) Fee (\$) Fee Description Fee (\$) WARNING: Information on this form may become public. Credit card 1-month extension of time 55 information should not be included on this form. Provide credit card 110 information and authorization on PTO-2038. 215 2-month extension of time 430 **FEE CALCULATION** 490 3-month extension of time 980 1. BASIC FILING FEE 4-month extension of time 1,530 765 **Small Entity** Fee Paid(\$) Fee Description Fee (\$) Fee (\$) 5-month extension of time 1,040 2.080 180 Information disclosure stmt. fee 180 Utility Filing Fee 790 395 50 37 CFR 1.17(q) processing fee 50 Design Filing Fee 350 175 130 Non-English specification 130 Notice of Appeal 170 340 Plant Filing Fee 550 275 340.00 Filing a brief in support of appeal 170 340 Reissue Filing Fee 790 395 Request for oral hearing 300 150 Provisional Filing Fee 160 80 Other: 0.00 Subtotal (3) \$ 340.00 Subtotal (1) \$ SUBMITTED BY Registration No. Telephone 24,893 (Attorney/Agent)

Signature Name (Print/Type James /D. Hall

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF APPEALS AND INTERFERENCES

n re∰atent Application of:

Jean Michel Lerdu

PRADE Latent Application No.:

10/033,178

For:

FENCE

Filing Date:

28 December 2001

Art Unit:

3677

Examiner:

J. Schiffman

APPLICANT'S APPEAL BRIEF

REAL PARTY AND INTEREST

The real party in interest, as assignee and owner of the entire right, title and interest of the patent application is Spartech Corporation, a corporation established under the laws of the State of Delaware and located at 120 S. Central Avenue in Clayton, Missouri.

RELATED APPEALS AND INTERFERENCES

There are no other appeals or interferences known to the applicant or to the applicant's legal representative which will directly affect or be directly affected or have a bearing on the Board's decision in the pending appeal.

STATUS OF CLAIMS

Claims 1-17 are currently pending. Claims 1-17 stand finally rejected.

12/03/2004 RMEBRAHT 00000012 10033178

01 FC:1402

340.00 OP

STATUS OF AMENDMENTS

There have been no amendments filed subsequent to the final rejection.

SUMMARY OF THE CLAIMED SUBJECT MATTER

The invention of the application under appeal relates to a fence generally of the type having spaced apart support posts, an upper rail and a lower rail extending between adjacent posts, and one or more generally vertical slats or boards extending between the rails. Upper rail 14 and lower rail 12 each extend between adjacent posts 18 with boards 20 extending between the upper and lower rails. (FIG. 1; p 3, ln 10-12) Each rail 12, 14 includes two opposing half parts 22, 24. (FIG. 6; p 3, ln 14) The opposing half parts 22, 24 are substantially symmetrical or mirror images of each other except for the complimentary interconnecting fastener portions 26 (FIG. 6; p 3, ln 14-16). At least one half part 22 or 24 includes a plurality of longitudinally spaced apart ribs 34 that protrude in the same direction transversely to the general longitudinal axis of the half part (FIG. 6; p 3, ln 18-20). When half parts 22, 24 are secured together with fastener portions 26, each adjacent pair of ribs 34 defines a space 40 between the adjacent ribs into which a board 20 is fitted (FIG. 6; p 4, ln 6-8).

In the preferred embodiment, each opposing half part 22, 24 includes ribs 34, which oppose each other. (FIG. 6; p 4, ln 7-8) The opposing ribs 34 define a spacer 41 that separates adjacent boards 20 (FIG. 6; p 4, ln 9-10). When opposing half parts 22, 24 are mated together, the intermediate flange 32 of lower rail 12 carries boards 20 (FIG. 10; p 4, ln 21-22), and the lower flange 36 of lower rail 12 carries posts 18 (FIG's. 7 & 8; p 4, ln 12-16). Fasteners 44 may be used to secure the posts 18 to the rails 22, 24. (p 4, ln 19-20)

In this manner, the invention provides a fence that may be easily assembled by

assembling opposing half parts 22, 24 to form the top and bottom rails 12, 14, and fitting boards 20 into the spaces 40. Posts 18 may be fitted into the ends of rails 12, 14 and secured thereto with fasteners 40. Opposing half parts 22, 24 minimize the number of separate pieces needed to form the rails 12, 14.

GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Whether the Examiner erred in rejecting claims 1-17. Are claims 1, 6, 12 and 13 anticipated by Lauzier. Are claims 2-4 obvious over Lauzier in view of Taylor. Are claims 5, 10 and 11 obvious over Lauzier in view of Taylor and Grimm. Are claims 7-9 obvious over Lauzier in view of Taylor and Weaver. Are claims 12 – 17 obvious over Michael in view of Lauzier.

ARGUMENT

This is in response to the Final Office Action of July 2, 2004. Instead of filing an answer to the first filed Appeal Brief, the examiner chose to reopen prosecution.

A. §102 rejections based on Lauzier: Claims 1, 6, 12 and 13.

Claims 1, 6, 12, and 13 stand rejected under 35 U.S.C. Section 102(b) as being anticipated by Lauzier. As mentioned throughout the prosecution of this application, including the previous submitted Appeal Brief, there is a basic difference in interpretation between the applicant and the examiner concerning the claim limitations of "half parts", and "at least one of said parts having longitudinally spaced ribs." First, while the rails in Lauzier are of two piece construction, they are not "half parts" as that term is used by the applicant in the specification and claims and is generally understood in the dictionary sense. Half parts 22 and 24 are

described on page 3, lines 14-16, of the applicant's specification as being essentially mirror images of each other. This is not true for parts 4, 10 and 11 in Lauzier. Nor are parts 4, 10 "one of two equal parts into which a thing is divisible" or "a part of a thing approximately equal to the remainder" as defined in Webster's 3rd International Dictionary. The examiner ignores the standard definition of "half" as used by the applicant and on page 6 of his office action cites a second dictionary meaning definition (2: one of a pair: as a: PARTNER...) that has no relation to the applicant's use of half (see in Evidence Appendix the attached dictionary definition used by the examiner).

Secondly, Lauzier items 5 are defined as openings or perforations. Item 7 in Lauzier is called a rib. In claims 1 and 12, each adjacent pair of ribs defines the space within which a board is fitted. These ribs are defined as longitudinally spaced, thus the space is being defined as between adjacent pairs of "longitudinally spaced ribs." This does not occur in Lauzier. If the examiner considers the side of each opening 5 where a slight protrusion is shown as a "rib", then the space between such sides is not longitudinally but rather transversely spaced. Further, the "longitudinally spaced ribs" carried by each of the half parts extend in the same direction. Clearly this is not seen or obvious from Lauzier no matter how one attempts to describe openings 5 or ribs 7.

Accordingly, claims 1 and 12, and depending claims 6, and 13 are neither anticipated nor rendered obvious by Lauzier.

B. § 103 rejections based on Lauzier as modified by Taylor: Claims 2 – 4

Claims 2 – 4 stand rejected under 35 U.S.C. Section 103(a) as being unpatentable over Lauzier in view of Taylor. In claim 2, each of the half parts carries longitudinally spaced ribs and such ribs, when the half parts are secured together, are opposed. Somehow the examiner

believes that Taylor would cause one skilled in the art to extend the boards 3 of Lauzier through both rail parts 4 and 11 and as such somehow ends up with opposed ribs. First, such supposed substitution is absolutely not suggested or even desired for there is no reason to extend boards 3 of Lauzier through bottom rail part 11 since each of the boards 3 are snap-fitted into rail 4 for anchoring and securement purposes. Even if boards 3 in Lauzier were inserted through both parts 4 and 11, there is still no disclosure of opposing ribs formed by the half parts with each adjacent pair of opposed ribs defining a space therebetween for its board. As such, the suggested combination of Lauzier and Taylor is not feasible and appears to be based entirely upon an attempted application of hindsight. As such, claim 2 and depending claims 3 and 4 are not obvious over Lauzier in view of Taylor.

§ 103 rejections based on Lauzier as modified by Taylor and Grimm: Claims 5, C. 10, and 11

Claims 5, 10 and 11 stand rejected under 35 U.S.C. Section 103(a) as being unpatentable over Lauzier in view of Taylor and further in view of Grimm. Simply and basically stated, the upper and lower orientation of parts 4 and 10, 11 in Lauzier prevent the placement of any posts between the parts. To sandwich the posts between parts 4 and 10, 11 of Lauzier would require the rails (parts 4, 10 and 11) to be orientated horizontally or laid on their sides which would also cause boards 3 to be horizontal. The Grimm reference provides no assistance in suggesting that somehow a vertically oriented post could be sandwiched between upper and lower rail parts. As such, claims 5 and 10, and depending claim 11 are not obvious over Lauzier, in view of Taylor and Grimm.

D. § 103 rejection based on Lauzier as modified by Taylor and Weaver: Claims 7 -9
Claims 7 - 9 stand rejected under 35 U.S.C. Section 103(a) as being unpatentable over
Lauzier in view of Taylor and further in view of Weaver.

The arguments relating to Lauzier and the allowability of claims 1, 3, and 4 apply equally to depending claims 7, 8 and 9, Lauzier does not disclose "half parts", or longitudinally spaced ribs, with such ribs defining spaces therebetween. Accordingly, claims 7 -9 are not rendered obvious by Lauzier in view of Taylor and Weaver.

E. § 103 rejection based on Michael as modified by Lauzier: Claims 12 - 17

Claims 12 – 17 stand rejected under 35 US.C. Section 103(a) as being unpatentable over Michael in view of Lauzier. First, to review, the arguments advanced on pages 3-4 as to the allowability of claim 1 with respect to the lack of teaching in the Lauzier reference apply here also. Lauzier does not disclose, contrary to the examiner's contentions, a half part which includes longitudinally spaced transverse ribs with each adjacent pair of such longitudinally spaced ribs defining a space there between for the purpose of accommodating a board. Additionally, ribs which extend in the same direction are not disclosed in Lauzier.

The examiner takes the position that it would be obvious to incorporate transverse longitudinally spaced ribs in Michael's rail as disclosed in Lauzier to create a more secure connection of the rail to the board so "they are less likely to come apart." First, the spindles 22 in Michael can not come apart since they are locked between the upper and lower rails. Thus any additional securement has no effect and would not even be considered. Secondly, boards 3 in Lauzier are secured to the upper and lower rail parts by snap-fit connections having no application in the wooden style of railing system in Michael. As such, the shape of the openings

5 in Lauzier would have no applicability, are not necessary, nor even desirable in Michael.

Accordingly, the combination of Michael and Lauzier does not render obvious claims 12 -16.

With regard to claim 17, the arguments concerning the inapplicability of Lauzier advanced with regard to claim 1 apply equally to claim 17 and the combination of the art cited by the examiner. Further, the arguments advanced as to the allowability of claims 12 – 16 with regard to the inapplicability of substituting any teaching shown in Lauzier into Michael also applies to claim 17. Claim 17 requires at least one of the half parts to include a plurality of longitudinally spaced transverse ribs which extend in the same direction toward the other of the half parts. Each adjacent pair of such ribs define a space into which a board is fitted. This is not disclosed in Michael as admitted by the examiner nor is it suggested in Lauzier. Lauzier, as mentioned previously, does not disclose longitudinally spaced transverse ribs that extend in the same direction with adjacent pairs of such ribs defining a space there between. Nor can the openings 5 in Lauzier be feasibly incorporated into Michael which uses an entirely different method of retaining the spindles 22 than Lauzier uses in retaining by snap-fit connection its bars or boards.

CONCLUSION

The invention of claims 1-17 is not anticipated or rendered obvious by the cited art because the cited art fails to disclose each and every claim limitation. For these reasons, Applicant respectfully requests that the Board reverse the decision of the Examiner and hold that claims 1-17 are in a position for allowance.

Respectfully Submitted,

James D. Hall, Reg. No. 24,893

BOTKIN & HALL, LLP

105 East Jefferson Boulevard, Suite 400

South Bend, Indiana 46601-1913

Phone: 574-234-3900 Fax: 574-236-2839

Attorney for Applicant

CLAIMS APPENDIX

- 1. A fence comprising an upper horizontal rail, a lower horizontal rail, boards extending between said rails, at least one of said rails having a separate half parts, each of said parts including a cooperating fastener for securing the parts together about said boards, at least one of said parts having longitudinally spaced ribs, said ribs extending in the same direction, each adjacent pair of said ribs defining a space therebetween, and a said board fitted into a said space with said parts secured together about said boards.
- 2. The fence of claim 1 wherein both of said parts have said longitudinally spaced ribs, said ribs of one of said parts being opposed to said ribs of the other of said parts when said parts are secured together with each adjacent pair of opposed ribs defining a said space therebetween.
- 3. The fence of claim 1 and a first vertical post extending transversely relative to said rails, said rails engaging said first post.
- 4. The fence of claim 3 and a second vertical post extending transversely relative to said rails, said rails engaging said second post.
- 5. The fence of claim 4 wherein each post fits between said parts of each of said rails.
- 6. The fence of claim 1 wherein both of said rails have said separate half parts, at least of one of said parts of each rail having said longitudinally spaced ribs.
- 7. The fence of claim 4 and a third horizontal rail located above said upper horizontal rail, each of said rails engaging said first and second vertical posts.
- 8. The fence of claim 7 wherein said third rail has separate half parts, each of said parts of said third rail including cooperating fasteners for securing the parts together.

- 9. The fence of claim 8 wherein at least one of said parts of said third rail includes longitudinally spaced ribs, each adjacent pair of ribs defining a space therebetween, a said board fitted into a said space defined between said ribs.
- 10. The fence of claim 4 wherein each post fits between said opposing half parts of each of said rails.
 - 11. The fence of claim 10 wherein said lower rail carries said posts and said boards.
- 12. A fence comprising an upper horizontal rail, a lower horizontal rail, boards extending between said rails, at least one of said rails having a separate opposing half parts, each of said parts including a complimentary part of a cooperating fastener for securing the parts together about said boards, at least one of said parts having longitudinally spaced ribs, said ribs extending in the same direction, each adjacent pair of said ribs defining a space therebetween, and a said board fitted into a said space with said parts secured together about said boards.
- 13. The fence of claim 12 wherein said complimentary parts of said fastener are slidingly engageable in one direction for mechanically preventing disengagement in the opposite direction.
- 14. The fence of claim 13 wherein said half parts vertically oppose each other, said fastener for securing the parts about opposite sides of said boards.
 - 15. The fence of claim 14 wherein said ribs extend transversely between said parts.
- 16. The fence of claim 15 wherein said opposing parts and each adjacent pair of said ribs define said space.
- 17. A fence comprising an upper horizontal rail, a lower horizontal rail, and boards extending between said rails; one of said rails divided into two vertically opposing half parts;

each said part including a complimentary portion of a fastener for securing the parts together about opposite sides of said board; one of said parts including a plurality of longitudinally spaced, transverse ribs extending in the same direction toward the other of the parts; said opposing parts and each adjacent pair of said ribs defining a space therebetween into which a said board is fitted when said parts are secured together about said opposite sides of the board.

EVIDENCE APPENDIX

Dictionary Definition



Merriam-Webster OnLine

Merriam-Webster FOR KIDS

Encyclopædia BRITANNICA

Merriam-Webster ONLINE

Merriam Webster COLLEGIATE®

Merriam-Webster UN

HOME PREMIUM SERVICES

M-WCollegiate.com M-WUnabridged.com Britannica.com Multi-User Licenses

DOWNLOADS

WORD OF THE DAY

WORD GAMES

WORD FOR THE WISE

ONLINE STORE

HELP

4

Merriam-Webster Inc. Company information Merriam-Webster Online Dictionary

Thesaurus

65 entries found for **half**. The first 10 are listed below. To select an entry, click on it. For more results, <u>click here</u>.



Main Entry: ¹half ◆)

Pronunciation: 'haf, 'h[a']f

Function: noun

Inflected Form(s): plural halves 1 / havz, 'h[a']vz/ Etymology: Middle English, from Old English healf; akin to Old High German halb half

1 a: either of two equal parts into which a thing is divisible; also: a part of a thing approximately equal to the remainder -- often used without of <half the distance> b: half an hour

-- used in designation of time

2: one of a pair: as a: <u>PARTNER</u> b: <u>SEMESTER</u>, <u>TERM</u> c: either of the two equal periods that together make up the playing time of some games (as football); also: the midpoint in playing time <the score was tied at the half>

3: HALF-DOLLAR

4: HALFBACK

- by half: by a great deal
- by halves: in part: HALFHEARTEDLY
- half again as: one-and-a-half times as < half again as many>
- in half: into two equal or nearly equal parts

For More Information on "half" go to Britannica.com
Get the Top 10 Search Results for "half"

Merriam-Webste

- Dictionary
- O Thesaurus





Palm & Pock

Browse and downl Merriam-Webster e-books and game Palm and Pocket P and Mobile Phones Merriam-Webs Online Store

Handheld Collegiate

Now you can take Eleventh Edition w anywhere as Frank new Speaking Elec Handheld!

Franklin.com/

Merriam-Web Collegiat 14-day Free

Pronunciation Symbols

Products Premium Services

Company Info

Contact Us

Advertising Info

Privacy P

© 2004 Merriam-Webster, Incorporated

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop Appeal Briefs - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 29 100.04

James D. Hall, Reg. No. 24,893